



INSTRUCTIONS

Statement Of Economic Interests

ETHICS BOARD

General filing information:

- The information sought in this form is required by Chapter 303, Milwaukee Code of Ordinances.
- Attach additional pages if necessary.
- Extra forms and instructions are available on the Ethics Board website at www.milwaukee.gov/ethics
- Questions?
Call (414) 286-8641 or Email ethics@milwaukee.gov

Definitions:

- "Immediate family member" means your spouse, and any child, step-child, parent, or parent-in-law who receives more than one-half his or her support from you or from whom you receive more than one-half of your support.
- "Income" means gross income before deductions and depreciation, from whatever source derived, as defined by the Internal Revenue Code, but excludes dividends and interest.

Part 1 - Sources of Income

For calendar year 2008

A. EMPLOYERS. List each EMPLOYER from which you and your immediate family received \$1,000 or more in 2008.

List:

- each employer from which you and your immediate family member received \$1,000 or more during the year
- City of Milwaukee if applicable

Do not list:

- an individual (unless the individual was a lobbyist, your employer, or acting on behalf of a business or organization)

B. OTHER SOURCES OF INCOME. List other sources from which you or your immediate family received income of \$1,000 or more in 2008.

List:

- Any entity from which you or your immediate family has received income of \$1,000
- Social Security payments
- an entity from which you or your family received retirement benefits
- an entity from which you or your family received directors fees

Do not list:

- the source of dividends or interest
- the source of insurance benefits, inheritances, scholarships (if no teaching or services were required in return)
- a decedent's estate
- a political contribution that has been reported to the Election Commission
- an individual (unless the individual was a lobbyist, your employer, or acting on behalf of a business or organization)

Part 2 - Business

For any BUSINESS that is a partnership, limited liability company, Subchapter S, or Subchapter C corporation in which you or your immediate family has a 10% interest or greater interest and from which you or your immediate family has received \$1,000 or more during 2008, list the name of the business and identity of each payer of \$1,000 or more to the business, as of December 31, 2008.

List:

- partnership (general, limited or limited liability)
- corporation (regardless of tax status and including service corporations)
- limited liability company (LLC)

in which you or your immediate family, directly or indirectly, separately or together, owned or controlled at least 10% interest

Do not list:

- an individual (unless the individual was a lobbyist, your employer, or acting on behalf of a business or organization)
- a decedent's estate

Part 3 - Investments

List stocks, bonds, notes or other investments you and your immediate family held (minimum \$5,000) as of December 31, 2008.

List the name of each:

- stocks and stock options
- bond
- note or other evidence of indebtedness
- sponsor of mutual fund and money market fund (i.e. Fidelity or Janus Fund)
- security issued by the State of Wisconsin or by local governmental entities within Wisconsin
- any of the above held directly or:
 - in a deferred compensation plan, profit sharing plan, or pension plan whose investments you or your immediate family direct
 - in an individual retirement account (IRA)
 - in a trust in which you or your immediate family member has beneficial use
 - held for you by a corporation, partnership, or other entity which you or your immediate family member controls

Do not list:

- savings accounts
- checking accounts
- certificates of deposit
- annuities
- insurance contracts
- securities issued by the federal government or a government outside Wisconsin
- securities in a company in which you and your immediate family's total interest is valued at less than \$5,000.

EXPLANATIONS:

List the security by name. For example, list "Harley Davidson" or "IBM." Do **NOT** list "deferred compensation plan" or "IRA" or "Charles Schwabb," since these terms do not identify the securities within the deferred compensation plan, IRA, or brokerage account.

Name of sponsor of mutual fund or money market fund:

List the sponsor name only, **NOT** each separate fund within each mutual fund or money market. Combine the value of all within each sponsor name.

To determine whether an investment meets the \$5,000

minimum for reporting add the total value of all types of securities you and your immediate family held in an individual business or other entity.

Investments held by a corporation, partnership or other entity which you and your immediate family control:

Investments must be listed (if aggregating \$5,000 or more) if they are owned by another entity which you and your immediate family control (i.e. have more than 50% of outstanding voting interests or have a majority of the directors or managers of the entity).

Investments held in a trust: List the name of each security valued at \$5,000 or more, held in a trust created by you or in which you have a vested beneficial interest.

Investments held in a Tax Qualified Account: If an investment is owned in a tax qualified account (a deferred compensation plan, profit-sharing plan, or pension plan), you must list the name of the security **only if you or a member of your immediate family controls the selection of that security in your account.** (If the individual investments in your plan are selected by someone other than you, such as an investment advisor or plan trustee, then you do not have to list the individual securities in that plan.) Because you control investments in your Individual Retirement Account (IRA), you must list individual securities held by your IRA.

City of Milwaukee Deferred Compensation Plan: This plan is not directed by the employee **unless** you have the PCRA (Personal Choice Retirement Account). If you are involved in the PCRA you must list the name of each security valued at \$5,000 or more.

Wisconsin Governmental Securities: any security issued by the State of Wisconsin or by local governmental entities within Wisconsin.

Part 4 - Real Estate

List specific location of REAL ESTATE in Milwaukee, Ozaukee, Racine, Washington and Waukesha counties (except your principal residence) in which you or your immediate family hold at least 10% interest which is valued at \$5,000 or more as of December 31, 2008.

List:

- real estate you or your immediate family owned directly or through: (a) partnership; (b) a corporation; (c) a trust; or (d) other enterprise

Do not list:

- your principal residence unless it was used for the conduct of a business or for rental purposes

Part 5 - Creditors

List each creditor to whom you and your immediate family owed \$5,000 or more as of December 31, 2008.

List:

- each creditor (for personal and business debts, including mortgages) if you or a family member was personally liable for the debt your portion of any partnership debts

Part 6- Associations

List every organization with which you are associated and the nature of your association with that organization as of December 31, 2008.

List the name of each:

- each business, labor union, association, cooperative, or other organization with which you were associated and the nature of your association, i.e. officer, member, director, authorized representative, or agent
- non-profit social or community service organizations
- Bar Association

Do not list:

- charitable organizations (entities to which a contribution is tax deductible, i.e. American Red Cross)
- political organizations (entities whose primary purpose is to influence voting)
- trusts
- federal, state, or local governmental agencies

Part 7- Gifts

List individuals and organizations that, directly or indirectly, provided you with ENTERTAINMENT or GIFTS totaling more than \$50 in 2008. You may disclose gifts throughout the year on the gift/honoraria/payment of expenses form. Additional forms are available on the Ethics Board website at www.milwaukee.gov/ethics. If you have filed a disclosure form for 2008 mark the box "yes". If you have filed disclosure forms throughout the year with the Board, you are not required to list them again on this statement.

A "gift" includes any money, property, favor, service, entertainment, travel, or payment furnished without valuable consideration. Includes tickets to sporting or theatrical events, golfing fees, prizes, samples and promotional items, items from sales representatives, or a part of business promotions, and similar items.

A "gift" does not include political contributions reported to the Election Commission, or meals, beverages, items, or lodging that an individual offers as hospitality at his or her own expense, and not as a business expense, for reasons unrelated to your holding a city office or position.

Do not list:

- gifts from your spouse, child, parent, brother, sister, grandchild, grandparent, aunt, uncle, niece, nephew, fiancé(e), parent-in-law, grandparent-in-law, brother-in-law, or sister-in-law

Part 8 - Honoraria and Payment of Expenses

List, for 2008, sources of HONORARIA and payment of EXPENSES more than \$50 related to your city duties as provided for in Chapter 303-9-2a, MCO. You may disclose honoraria or payment of expenses throughout the year on the gift/honoraria/payment of expenses form. Additional forms are available for printing on the Ethics Board website at www.milwaukee.gov/ethics. If you have filed a disclosure form for 2008 mark the box "yes." If you have filed disclosure forms throughout the year with the Board, you are not required to list them again on this statement.

List :

- each individual or organization from which you received, in 2008, lodging, transportation, meals, expenses, or honoraria having a total value of more than \$50, for attendance at a conference, presentation of a talk, participation in a meeting, or for a published work about issues initiated by or affecting city government or city agencies

Do not list:

- information about lodging, transportation, meals, money or any other thing of pecuniary value if:
 - you returned it within 30 days
 - you received it from the agency of which your city public office is a part
 - you received it from a source already listed in Part 1 or Part 2
 - you already reported the payment to the Ethics Board as a matter of public record
 - the expense is unrelated to holding public office and did not arise from holding public office.



ETHICS BOARD

Please sign and date your form and list your daytime telephone number. Clearly indicate if your daytime telephone number is your "home" telephone number. We will not disclose your "home" telephone number, if clearly marked, in the event of a public records request.

Mail or fax completed form to:

**The City of Milwaukee Ethics Board
200 East Wells Street, Room 205
Milwaukee, WI 53202**

Fax: (414) 286-0723

Due: February 28, 2009

If you fax the form, keep the original and do not mail it to the Ethics Board.
Keep a copy of your completed form for your records.

New late filing fees apply in 2009.



Statement Of Economic Interests

ETHICS BOARD

MAIL OR FAX TO: City of Milwaukee Ethics Board, 200 E. Wells Street, Room 205, Milwaukee, WI 53202 Fax: (414) 286-0723

Due February 28, 2009

Filed in 2009 for Calendar Year 2008 – Print legibly in black ink or type

Name: _____ Do you live in the City of Milwaukee? ___ Yes ___ No

City Position: _____
(include department, title, board, commission, elective office if applicable)

FOR EXPLANATIONS, EXAMPLES AND EXCEPTIONS SEE THE INSTRUCTION SHEET.

Part 1 - Sources of Income

(For calendar year 2008.)

A. List each EMPLOYER from which you and your immediate family received \$1,000 or more during 2008 (eg. City of Milw.).

Name of Payer	City and State	Nature of Business	Self (S) or Family (F)

B. List other sources of income from which you or your immediate family received income of \$1,000 or more in 2008.

Name of Payer	City and State	Nature of Business

Part 2 - Business

(As of December 31, 2008.)

For any payer listed above that is a partnership, limited liability company, Subchapter S or Subchapter C corporation in which you or your immediate family has a 10% or greater interest, list the IDENTITY OF EACH PAYER of \$1,000 to such partnership, LLC, Sub-S or Sub-C corporation (see instructions for certain payers which do not have to be listed).

Name of Payer	Name of Business Receiving Payment	Nature of Business	City and State

Part 3 - Investments

(As of December 31, 2008.)

List stocks, bonds, notes or other investments you and your immediate family held (\$5,000 or more).

Name of each Security or Mutual Fund Sponsor	TYPE OF SECURITY - " / " ONE				AMOUNT - " / " ONE	
	Stock, Options, Futures	Bond, Note	WI Gov't. Sect.	Mutual Funds or Money Market	\$5,000-\$50,000	more than \$50,000

Part 4 - Real Estate**(As of December 31, 2008.)**

List specific location of REAL ESTATE in Milwaukee, Ozaukee, Racine, Washington and Waukesha counties (except your principal residence) in which you or your immediate family hold at least 10% interest which is valued at \$5,000 or more.

Street Address	County	Type of Property	Nature of Interest

Part 5 - Creditors**(As of December 31, 2008.)**

List each CREDITOR to whom you and your immediate family owed \$5,000 or more on December 31, 2008.

Creditor (\$5,000 or more)	City and State	\$5,000 - \$50,000 (check <input checked="" type="checkbox"/>)	more than \$50,000 (check <input checked="" type="checkbox"/>)

Part 6 - Associations**(As of December 31, 2008.)**

List every organization with which you are associated and the nature of your association.

Name of Organization	City and State	Nature of Association (i.e. member, officer, director)

Part 7 - Gifts**(During calendar year 2008.)**

List individuals and organizations that provided you with ENTERTAINMENT or GIFTS more than \$50 in 2008.

Name of Provider	City and State	Description of Gift	Approx. Value

Did you file any separate gift reporting forms in 2008? Yes No

Part 8 - Honoraria and Payment Expenses**(During calendar year 2008.)**

List, for 2008, sources of HONORARIA and payment of EXPENSES of more than \$50 related to your city duties.

Payer	Approximate value of Expenses	Amount of honorarium	Circumstances of receipt

Did you file any separate honoraria/payment of expense disclosures in 2008? Yes No

I have read the accompanying instructions and certify that the information contained in this Statement of Economic Interests is true, complete and correct to the best of my knowledge, information and belief. If any part has been left blank, I have done so intentionally because there is nothing to report.



Signature of person filing Statement _____

Date _____

Daytime telephone number - Is this your home telephone number? Yes No

